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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas A. Rhee

Serial No: Not Assigned

Filed: February 2, 2001

For: REAL LIFE IMPLEMENTATION OF MODERN PORTFOLIO THEORY (MPT)
FOR FINANCIAL PLANNING AND PORTFOLIO MANAGEMENTjc976 U.S. PTO
09 77379
02/02/01

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- 9 sheets of drawings (formal informal) are enclosed.
 30 pages of specification and 1 page of abstract of the invention are enclosed.
 An assignment of the invention to is enclosed will follow.
 A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
 Declaration and Power of Attorney is enclosed will follow.
 A certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. § 119 is enclosed.
 IDS enclosed (with reference(s)).
 Preliminary Amendment is enclosed.
 Return postcard is enclosed.

CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS	NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	(35)36	-20	16 LG=\$18 SM=\$9	\$9	\$144
B INDEPENDENT CLAIMS FEE*	2	-3	0 LG=\$80 SM=\$40	\$0	0
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$144
D MULTIPLE-DEPENDENT CLAIMS FEE			LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$135
E BASIC FEE			LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355		\$355
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$634
G ASSIGNMENT RECORDING FEE					\$ 40

*LIST INDEPENDENT CLAIMS 1, 11

- Please charge my Deposit Account No. 50-1314 the amount of \$. A copy of this letter is enclosed.
 A check in the amount of \$634.00 to cover the filing fee is enclosed.
 A check in the amount of \$ to cover Assignment Recordation fee is enclosed.
 The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
 Any additional filing fees required under 37 C.F.R. 1.16
 Any patent application processing fees under 37 C.F.R. 1.17
 The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1314. **A copy of this letter is enclosed.**
 Any patent application processing fees under 37 C.F.R. 1.17
 The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b)
 Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims

Please associate this case with the attorneys of record.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

By:

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Date: February 2, 2001

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